

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 2043.059US1 U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/562459</div>
INTERNATIONAL APPLICATION NO. PCT/US2004/014997	INTERNATIONAL FILING DATE May 12, 2004	PRIORITY DATE CLAIMED July 3, 2003
TITLE OF INVENTION METHOD AND SYSTEM FOR MANAGING DATA TRANSACTION REQUESTS		
APPLICANT(S) FOR DO/EO/US Elena Grossfeld, Surjagini Widjojo, Thorsten Lockert, Greg Seitz, Kenneth R. Wigginton, Christopher J. Kasten		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/562459		INTERNATIONAL APPLICATION NO. PCT/US2004/014997		ATTORNEY'S DOCKET NUMBER 2043.059US1	
<p>20. Other items or information:</p> <p>Request to Correct Inventorship including authorization to charge Deposit Account 19-0743 the \$130.00 fee pursuant to 37 C.F.R. 1.17(i), Statement of James Barrese, and Consent of Assignee to Correct Inventorship</p>					
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0				\$ 200.00	
All other situations.....\$200					
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))					
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0				\$ 100.00	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100					
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400					
All other situations.....\$500					
TOTAL OF 21, 22 and 23 =				\$600.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	30 - 20 =	10	x \$ 50	\$ 500.00	
Independent claims	4 - 3 =	1	x \$200	\$ 200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 700.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 1430.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 1430.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 1430.00	
				Amount to be refunded:	\$
				Amount to be charged	\$ 1430.00

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 19-0743 in the amount of \$ 1430.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0743. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:



SIGNATURE

Mark R. Vatuone

NAME

53,719

REGISTRATION NUMBER

IAP15 Rec'd PCT/PTO 29 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Elena Grossfeld et al.

Title: METHOD AND SYSTEM FOR MANAGING DATA TRANSACTION REQUESTS

Attorney Docket No.: 2043.059US1

Customer No.: 49845

PATENT APPLICATION TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the following attached items and information (as indicated with an "X"):

- ☒ A Transmittal Letter to the DO/EO/US (and duplicate copy thereof) concerning a PCT – U.S. National Stage filing under 35 U.S.C. § 371.
- ☒ Signed Combined Declaration and Power of Attorney (8 pgs).
- ☒ Incorporation by Reference: *The entire disclosure of the prior applications, PCT/US2004/014997 filed May 12, 2004, and published on February 3, 2005 as WO 2005/010650 A2, which claims the benefit of U.S. Provisional Application Serial No. 60/484,827 filed July 3, 2003 are considered as being part of the disclosure of the accompanying application and are hereby incorporated by reference therein.*
- ☒ Authorization to charge Deposit Account 19-0743 in the amount of \$1300.00 to pay the filing fee.
- ☒ Information Disclosure Statement (2 pgs.), Form 1449 (1 pg.) and copies of cited references (2).
- ☒ Preliminary Amendment (3 pgs).
- ☒ Request for Correction of Inventorship Pursuant to 37 C.F.R. 1.497(d), including authorization to charge Deposit Account 19-0743 in the amount of \$130.00 to cover the fee under 37 C.F.R. 1.17(i) (1 pg.)
- ☒ Statement of James Barrese Pursuant to 37 C.F.R. 1.497(d)(1) (1 pg.).
- ☒ Consent of Assignee to Correct Inventorship Under 37 C.F.R. 1.497(d)(3) (1 pg.).
- ☒ Return postcard.

The filing fee has been calculated below as follows:

	No. Filed	No. Extra	Rate	Fee
TOTAL CLAIMS	30-20	10	x 50.00 =	\$500.00
INDEPENDENT CLAIMS	4-3	1	x 200.00 =	\$200.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED				\$0.00
BASIC FEE				\$300.00
SEARCH FEE				\$100.00
EXAMINATION FEE				\$200.00
APPLICATION SIZE FEE				\$0.00
TOTAL				\$1300.00

Please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number: 49845

By: Mark R. Vatuone
Atty: Mark R. Vatuone
Reg. No. 53,719

"Express Mail" mailing label number: EV617494160USDate of Deposit: December 29, 2005

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to Mail Stop PCT, The Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.